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Form PTO-1448

## INFORMATION DISCLOSURE CITATION

Docket Number (Optional) A-1673con

**Application Number** 

| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)   |                       |                   | Applicant Tran                      |              |          |                               |    |  |
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|   |                       |                   | Filing Date Herewith Group Art Unit |              |          |                               |    |  |
|   |                       | U. S. PATEN       | T DOCUMENTS                         |              | <u></u>  | 1                             |    |  |
| EXAMINER<br>INITIAL   | DOCUMENT NUMBER       | DATE              | NAME                                | CLASS        | SUBCLASS | RULING DATE<br>IF APPROPRIATE |    |  |
|   | 3,143,916             | 04/03/62          | Rice                                |              |          |                               |    |  |
| , X   | 4,301,551             | 11/24/81          | Dore et al.                         |              |          |                               |    |  |
|   | 4,467,478             | 08/28/84          | Jurgutis                            |              |          |                               |    |  |
|   | 4,483,023             | 11/20/84          | Hoffman, Jr. et al.                 |              |          |                               |    |  |
|   | 4,590,928             | 05/27/86          | Hunt et al.                         |              |          |                               |    |  |
|   | 4,597,776             | 07/01/86          | Ullman et al.                       |              |          | <u></u>                       |    |  |
|   | 4,605,414             | 08/12/86          | Czajka                              |              |          |                               |    |  |
|   | 4,672,957             | 06/16/87          | Hourahane                           |              |          | <u> </u>                      |    |  |
|   | 4,712,542             | 12/15/87          | Daniel et al.                       |              |          |                               |    |  |
|   | 4,721,103             | 01/1998           | Freedland                           |              |          |                               |    |  |
|   | 4,772,286             | 09/20/88          | Goble et al.                        |              |          |                               |    |  |
|   | 4,772,286 Re.# 34,293 | 06/88/93          | Goble et al.                        |              |          |                               |    |  |
|   | 4,772,286 Re.# 34,293 | 04/07/98          | Goble et al.                        |              |          |                               |    |  |
|   | 4,823,780             | 04/25/89          | Odensten et al.                     | <u> </u>     |          |                               |    |  |
|   | 5,195,542             | 03/23/93          | Gazielly et al.                     |              |          |                               |    |  |
|   | 5,275,176             | 01/04/94          | Chandler                            |              |          |                               |    |  |
|   | 5,330,468             | 07/19/94          | Burkhart                            |              |          |                               |    |  |
|   | 5,336,240             | 08/1994           | Metzler et al                       |              |          | <u> </u>                      |    |  |
|   | 5,441,508             | 08/15/95          | Gazielly et al.                     |              |          |                               |    |  |
|   | 5,501,695             | 03/26/96          | Anspach, Jr. et al.                 |              |          |                               |    |  |
|   | 5,573,548             | 11/12/96          | Nazre et al.                        |              |          |                               |    |  |
|   | 5,575,801             | 11/19/96          | Habermeyer et al.                   |              |          |                               |    |  |
|   | 5,584,839             | 12/17/96          | Gieringer                           |              |          |                               |    |  |
|   | 5,591,207             | 01/07/97          | Coleman                             |              |          | <u></u>                       |    |  |
| U   |                       | FOREIGN PAT       | ENT DOCUMENTS                       |              |          | <b>,</b>                      |    |  |
|   | DOCUMENT NUMBER       | DATE              | COUNTRY                             | CLASS        | SUBCLASS | TRANSLATION                   |    |  |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. |                       |                   |                                     |              |          |                               |    |  |
| Include copy of this form with next communication to the applicant.   |                       |                   |                                     |              |          |                               |    |  |

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Form PTO-1449 Docket Number (Optional) A-1673con Application Number INFORMATION DISCLOSURE CITATION Applicant Tran IN AN APPLICATION Filing Date (Use several sheets if necessary) **Group Art Unit U. S. PATENT DOCUMENTS** EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS RULING DATE INITIAL IF APPROPRIATE 05/95 5,417,691 Hayhurst 12/95 5,472,452 Trott 01/96 Lee et al. 5,480,403 03/96 5,501,683 **Trott** 07/96 5,534,012 Bonutti 08/96 5,549,630 **Bonutti** 10/96 Bonutti 5,569,305 10/96 5,569,306 Thal 12/96 5,584,862 Bonutti 08/97 Thal 5,658,313 09/97 Chervitz et al. 5,665,110 09/97 Thal 5,665,112 10/28/97 Burkhart et al. 5,681,333 11/97 Luscombe et al. 5,683,418 5,683,419 11/97 Thal 5,690,649 11/25/93 Li 12/16/97 Fucci et al. 5,697,950 01/98 Yoon 5,707,362 Miller et al. 01/13/98 5,707,394 Thal 01/98 5,709,708 02/98 Thal 5,720,765 03/98 Thal 5,728,136 60/60 03/98 5,733,307 Dinsdale FOREIGN PATENT DOCUMENTS SUBCLASS TRANSLATION CLASS DOCUMENT NUMBER DATE COUNTRY YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED alar 22 05 EXAMINER: Initial if distinct considered, whether or not citation is in conformance with MPEP § 609; Oraw line through citation if not in conformance and not considered, include copy of this form with next communication to the applicant.

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| Form PTO-1449  |   |                   | Docket Number (Optional) A-1673con Application Number |           |                |          |        |  |  |
|--|---|-------------------|---|-----------|----------------|----------|--------|--|--|
| INFO   | ORMATION DISCLOSURE O   | CITATION          | Applicant Tran  |           |                |          |        |  |  |
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| U. S. PATENT DOCUMENTS   |   |                   |   |           |                |          |        |  |  |
| EXAMINER<br>INITIAL  | DOCUMENT NUMBER   | DATE              | NAME  | CLASS     | SUBCLASS       |          | G DATE |  |  |
| MOSP)  | 5,782,864   | 07/1998           | Lizardi   |           |                | <u> </u> |        |  |  |
|  | 5,782,865   | 07/21/98          | Grotz.  |           |                |          |        |  |  |
|  | 5,797,963   | 08/25/98          | McDevitt  |           |                |          |        |  |  |
|  | 5,810,854   | 09/22/98          | Beach   |           |                |          |        |  |  |
|  | 5,814,071   | 09/98             | McDevitt et al.                                       |           |                |          |        |  |  |
|  | 5,860,978   | 01/19/99          | McDevitt  |           |                |          |        |  |  |
|  | 5,868,789   | 02/09/99          | Huebner   |           |                | <u> </u> |        |  |  |
|  | 5,879,372   | 03/09/99          | Bartlett  |           |                | <u> </u> |        |  |  |
|  | 5,882,340   | 03/99             | Yoon  |           |                |          |        |  |  |
|  | 5,891,168   | 04/99             | Thal  |           |                |          |        |  |  |
| Ť  | 5,893,850   | 04/03/99          | Cachia  |           |                |          |        |  |  |
|  | 5,948,001   | 09/99             | Larsen  |           |                |          |        |  |  |
|  | 5,957,953   | 09/99             | DiPoto et al.   |           |                |          |        |  |  |
|  | 5,961,530   | 10/99             | Moore et al.  |           |                |          |        |  |  |
|  | 5,993,459   | 11/99             | Larsen et al.   |           |                | <u> </u> |        |  |  |
|  | 6,007,567   | 12/28/99          | Bonutti   |           |                | <u> </u> |        |  |  |
|  | 6,013,083   | 01/11/00          | Bennett   |           |                | ļ        |        |  |  |
|  | 6,017,346   | 01/2000           | Grotz   |           |                |          |        |  |  |
| EN   | 6,022,373   | 02/08/00          | Li  |           |                | <u> </u> |        |  |  |
| U  |   | FOREIGN PAT       | ENT DOCUMENTS   |           |                |          |        |  |  |
|  | DOCUMENT NUMBER   | DATE              | COUNTRY   | CLASS     | SUBCLASS       | TRANSL   | ATION  |  |  |
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| Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) |   |                                       | Docket Number (Optional) A-1673con    |                  | Application Number |                   |                   |  |
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|  |   |                                       | Applicant Tran                        |                  |                    |                   |                   |  |
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| EXAMINER<br>INITIAL  | DOCUMENT NUMBER                                 | DATE                                  | NAME                                  | CLASS            | SUBCLASS           | RULING<br>IF APPR | S DATE<br>OPRIATE |  |
| ngka   | 6,024,758                                       | 02/00                                 | Thal                                  |                  |                    |                   |                   |  |
| Ø  | 6,045,574                                       | 04/00                                 | Thal                                  |                  |                    |                   |                   |  |
|  | 6,053,935                                       | 04/00                                 | Brenneman et al.                      |                  |                    |                   |                   |  |
|  | 6,056,773                                       | 05/00                                 | Bonutti                               |                  |                    |                   |                   |  |
|  | 6,068,648                                       | 05/00                                 | Cole et al.                           |                  |                    |                   |                   |  |
|  | 6,149,669                                       | 11/2000                               | Li                                    |                  |                    |                   |                   |  |
| b  | 6,156,039                                       | 12/2000                               | Thal                                  |                  |                    |                   |                   |  |
|  | 6,319,269                                       | 11/2001                               | Li                                    |                  |                    |                   |                   |  |
| DU   | Des # 385,352                                   | 10/21/97                              | Bales et al.                          |                  |                    |                   |                   |  |
| 0  | ·   |                                       |                                       |                  |                    |                   |                   |  |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)                             |   |                                       |                                       |                  |                    |                   |                   |  |
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